

**BROKERAGE**

			Tenor	Type	Underlying	Maturity	CUSIP	Terms	SC	Closing	Documents
<b>Bank of the West</b>											
1	BotW	GROWTH	5.75yr	Point-to-Point	Morningstar® Ultimate Stock-Pickers Target Volatility 7 Index <sup>SM</sup>	11/28/25	06426XE97	≥ 100%* participation, uncapped return at maturity	2.75%	2/24/20 2:00 PM ET	
2	BotW	GROWTH	7yr	Point-to-Point	Morningstar® Ultimate Stock-Pickers Target Volatility 7 Index <sup>SM</sup>	3/1/27	06426XF21	≥ 140%* participation, uncapped return at maturity	2.75%	2/24/20 2:00 PM ET	
<b>Citibank, N.A.</b>											
3	Citi	GROWTH	4yr	Point-to-Point	Citi Dynamic Asset Selector 5 Excess Return Index	2/29/24	17294XC61	100-125%* participation, uncapped return at maturity	1.75%	2/25/20 10:00 AM ET	
4	Citi	GROWTH	4.25yr	Point-to-Point	Citi Radar <sup>SM</sup> 5 Excess Return Index	5/31/24	17294XA89	100-125%* participation, uncapped return at maturity	1.75%	2/25/20 10:00 AM ET	
<b>HSBC Bank USA, N.A.</b>											
5	HSBC	GROWTH	4yr	Cliquet	S&P 500® Index	2/27/24	44329MDB6	2.5-3%* quarterly cap, 1% min return at maturity	1.50%	2/24/20 2:00 PM ET	
6	HSBC	GROWTH	7yr	Point-to-Point	AI Powered US Equity Index 6 (USD) Excess Return	3/1/27	44329MDF7	≥ 100%* participation, uncapped return at maturity	2.75%	2/24/20 2:00 PM ET	
7	HSBC	GROWTH	6.5yr	Point-to-Point	HSBC Vantage+ Index (USD) Excess Return	8/27/26	44329MDD2	≥ 100%* participation, uncapped return at maturity	2.75%	2/24/20 2:00 PM ET	
<b>JPMorgan Chase Bank, N.A.</b>											
8	JPM	GROWTH	6yr	Point-to-Point	S&P Economic Cycle Factor Rotator Index	2/27/26	48128LJ26	110-125%* participation, uncapped return at maturity	3.25%	2/25/20 10:00 AM ET	
<b>Morgan Stanley Bank, N.A.</b>											
9	MS	GROWTH	5.75yr	Point-to-Point	Morgan Stanley MAP Trend Index	11/28/25	61765Q4H9	110-125%* participation, uncapped return at maturity	3.25%	2/24/20 10:00 AM ET	

## DISCLOSURE

*	To be determined on pricing date
**	The CD must not be called prior to maturity to receive this participation rate. A CD will be called if the linked asset meets or exceeds the call value on the stated observation date.
≥	“at least,” for example $\geq 8.00\%$ is a contingent interest rate of at least 8%
≤	“at most,” for example $\leq 2.00\%$ is the call value of at most 2%
note	SC = sales charge, FS = fact sheet, DS = disclosure supplement, PT = performance tracker, p.a. = per annum



**An investor’s principal investment in Market-Linked CDs is FDIC insured up to the FDIC insurance limit.** Payments in excess of the principal amount that are based on changes in the referenced asset are generally not FDIC insured, unless the payment amount has been determined before the bankruptcy has occurred. The FDIC insurance limit is currently \$250,000, including principal and any payments in excess of principal, for each insurable capacity of each depositor at each depository institution. FDIC insurance does not protect against loss if the MLCD is sold or redeemed prior to maturity. Amounts in excess of the FDIC limit are subject to the credit of the issuer. Prior to any purchase the client must receive and review the entire Disclosure Supplement as well as the CD Base Disclosure, if applicable.

Some of the underlying reference assets are represented by their ticker symbols. The full name of the reference asset can be found in the offering documents.

The information presented is not intended to constitute an investment recommendation for, or advice to, any specific person. By providing this information, First Trust is not undertaking to give advice in any fiduciary capacity within the meaning of ERISA, the Internal Revenue Code or any other regulatory framework. Financial advisors are responsible for evaluating investment risks independently and for exercising independent judgment in determining whether investments are appropriate for their clients.

## RESOURCES

